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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Ye, et al.

Attorney Docket No.: SUN1P507/P4152

Application No.: 09/465,994

Examiner: T.K.Pham

Filed: December 16, 1999

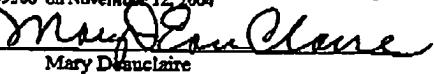
Group: 2121

**Title: METHODS AND APPARATUS FOR  
IMPLEMENTING AN APPLICATION  
LIFECYCLE DESIGN FOR APPLICATIONS**

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being transmitted via facsimile to the U.S. Patent and Trademark Office, Attention: Thomas K. Pham at facsimile telephone number (703) 872-9306 on November 12, 2004

Signed:

  
Mary Deauclaire

**INFORMATION DISCLOSURE STATEMENT  
AFTER FINAL ACTION OR NOTICE OF ALLOWANCE  
(37 CFR §§ 1.56 AND 1.97(d))**

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Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit this reference in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of final action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee.

SUN1P507/P4152

-1-

09/465,994

PAGE 5/49 \* RCVD AT 11/12/2004 4:19:06 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-1/1 \* DNIS:8729306 \* CSID:15108436203 \* DURATION (mm:ss):14:42

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The undersigned hereby states:

that each item of information contained in this Information Disclosure Statement

was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement of September 16, 2004. The two foreign references cited by the Chinese Patent Office were listed in the Information Disclosure Statement of September 16, 2004, as part of the description of the Second Office Action issued July 9, 2004. This Information Disclosure Statement fulfills the Examiner's request to list the two foreign references separately, in order to obtain consideration. or

that no item of information contained in the Information Disclosure Statement was

cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

If it is determined that any fees are due in payment of the Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P507).

Respectfully submitted,

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|  |  |                                  |                                |
|--|--|----------------------------------|--------------------------------|
| <b>Form 1449 (Modified)</b><br><br><b>Information Disclosure Statement By Applicant</b><br><br>(Use Several Sheets if Necessary) |  | Atty Docket<br>No.SUN1P507/P4152 | Application No.:<br>09/465,994 |
|  |  | Applicant: Ye, et al.            |                                |
|  |  | Filing Date 12-16-1999           | Group 2121                     |

**U.S. Patent Documents**

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
|------------------|-----|------------|------|----------|-------|-----------|-------------|
|                  | A   |            |      |          |       |           |             |
|                  |     |            |      |          |       |           |             |

**Foreign Patent or Published Foreign Patent Application**

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |     |              |                  |                          |       |           | Yes         | No |
|                  | B1  | EP0524071A1  | January 20, 1993 | EP                       | G06F  | 9/46      | X           |    |
|                  | B2  | EP0908821A1  | April 14, 1999   | EP                       | G06F  | 9/455     |             | X  |

**Other Documents**

|                  |     |  |
|------------------|-----|--|
| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication   |
|                  | C1  | <u>Second Office Action</u> issued July 9, 2004, for Chinese Patent Application No. 00810268.6, filed July 13, 2000, <i>Methods and Apparatus For Managing An Application According To an Application Lifecycle.</i> |
|                  |     |  |
| Examiner         |     | Date Considered  |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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